The Killing Test: The Kinship of Living Beings and the Buddhalegend's First Journey to the West

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Abstract
As it has traveled, the Buddhalegend has carried complex messages and sets of ideas, among which is the kinship of living beings. When the story made its way to Europe in the medieval period in the form of Barlłam and Josaphat, however, many of its messages were removed, and the kinship of living beings was one of the casualties. Concentrating on a particular episode in Barlaam and Josaphat, I show how the kinship of living beings was progressively deleted. I then suggest that this removal was based, in part, on an historical practice used for the detection and repression of Manichaeism: the killing test.
With the help of this mechanism of inquisition and persecution, the Buddhalegend was prevented, until the nineteenth century, from transmitting one of its key messages to the West.

Introduction
The story of the Buddha situates the human dilemma and the solution to that dilemma within a broad context of suffering that includes the nonhuman. Human beings are not alone in their suffering, and they are not the only ones whose suffering matters. If narratives are carriers — transnational and transcultural — of values and ideas, then the Buddhalegend has been a carrier of a concept of the kinship of living beings. Yet, when the story first went west across the Middle East and into Europe in the medieval period, it went after a metamorphosis — after it had been transformed into the story of Barla˘m and Josaphat. The kinship of living beings was one of the casualties in this transformation; episodes in the story that suggested close human kinship with the nonhuman were removed.

In this paper, I shall take as symbolic of this removal the elimination of a narrative section I shall call "the sheep episode." The episode is found in the Ismaili Arabic text — the earliest complete version of Barlm and Josaphat to have survived — but is missing from the Balavariani, the first surviving Christian version of the tale. I believe the removal of the kinship of living beings from the textual tradition as the story was received into Christendom was systematic and deliberate, and I shall make the point by linking the sheep episode to an historical practice, the "killing test," which was used to discover heresy. I shall argue that the sheep episode was probably removed because it was regarded as heretical — most likely Manichaean. If my hypothesis is correct, the reformulation of Barlm and Josaphat for
European readers was bound up with historical practices of inquisition and persecution.

There are many ways the human and the nonhuman may be construed as kin. I shall therefore begin by outlining the Indian Buddhist understanding (non-Mahayana, for the most part) of the kinship of living beings, and I shall give evidence of the Buddhalegend's support of this concept. I shall then look at the concept of kinship that remains in the oldest version of Barlm and Josaphat — the Ismaili Arabic version — while paying special attention to the sheep episode. I shall indicate how the episode was removed from the textual tradition, and I shall ask why this elimination may have occurred. This process will involve giving evidence of the historical practice of the killing test and asking what the test meant for its victims and its users.

**The Buddhalegend and the Kinship of Living Beings**

The phrase "kinship of living beings" will, in this article, refer to an intimate, five-fold relatedness of all living beings that are capable of feeling (that are sentient). This category of beings includes humans, transhumans (devas and so forth), and animals (mammals, reptiles, birds, fish, and insects), with plants, especially trees, as borderline cases on which varying views may be found in Buddhist texts. The five dimensions of kinship are metaphysical, affective, epistemic, moral, and soteriological.

**Metaphysical: Human and nonhuman living beings have strongly linked identities and destinies.**

We humans, according to Indian Buddhism, possess a set of characteristics that distinguishes us from animals, and that makes the killing of a human being a graver offence than the killing of an animal. However, the ontological distinction between the human and the nonhuman is a soft
one. Not only do animals share with us crucial characteristics, such as the ability to suffer, but also we ourselves are beings who are only temporarily human. Multilevel rebirth, the central metaphysical grounding of our connectedness with other beings, involves our continual entry into different life-conditions. Our repeated wandering back and forth between human and nonhuman forms mocks any attempt to establish strong boundaries between the two categories.

The Buddhalegend gives a position of great importance to the concept of rebirth into different conditions of sentience. One of the key noetic experiences the Buddha has during his enlightenment is the vision (usually credited to his development of the divya-cakus, or "divine eye") of beings passing successively through different states of sentience, human and nonhuman, according to the quality of their moral deeds. The placement of this vision within the Buddha's enlightenment is the strongest possible affirmation of a metaphysics of commonality.

**Affective: We feel, and ought to feel, empathy for nonhuman beings.**

The Bodhisattva — I shall in this paper use the term to refer to the being who eventually becomes kyamuni Buddha — is characterized by his extraordinary sensitivity, which is the result of many lifetimes of virtuous action. This sensitivity includes not only the ability to sense the hidden dangers of the world but also the ability to sense the suffering of others, including the nonhuman others caught with us in a common tragedy. The Buddhalegend has a repertoire of incidents that it utilizes, with varying degrees of intensity and emphasis in different versions, to make this point. Separate narrative incidents that involve nonhuman beings, including sheep, geese, oxen, an elephant, and various small field creatures, allow this point to be made.

The Prince's encounter with a ploughed field is perhaps the most important example of such incidents. In the Buddhacarita, the
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encounter is a sort of supplement to the usual four signs and allows the full extent of the Bodhisattva's sensibility to be demonstrated.

When he saw the ground in this state, with the young grass torn up and scattered by the ploughs and littered with dead worms, insects, and other creatures, he mourned deeply as at the slaughter of his own kindred [sva-jana].

And as he observed the ploughmen with their bodies discoloured by wind, dust, and the sun's rays, and the oxen in distress with the labour of drawing, the most noble one felt extreme compassion.

Then alighting from his horse, he walked slowly over the ground, overcome with grief. And as he considered the coming into being and the passing away of creation, he cried in his affliction, "How wretched this is."5

The sense of kinship experienced by the Bodhisattva in the parallel incident described in the Fo Pen Hsing Chi Ching, a lengthy version of the Buddhalegend transmitted from Gandhara to China in the sixth century C.E., is very similar: "his heart was filled with grief, as a man would feel who saw his own household bound in fetters."6 The kinship of living beings expressed in both of these passages comes in the immediacy of feeling.

**Epistemic: Increasing empathy for the nonhuman signifies increasing perception.**

Sensitivity, according to the Buddhalegend, is not a matter of mere affect but an aspect of growing awareness. It has an epistemic dimension. To be appropriately sensitive to danger and suffering is to perceive something that is. To incorporate this perception properly is to have knowledge and intelligence. The entire encounter with the
prerenunciation signs — including the vision of the ploughed field — is a cognitive experience that leads to the raising of questions about existence. The questions are eventually given answers in a further cognitive experience, the enlightenment, where the connectedness of human and nonhuman life, through karma and rebirth, is directly perceived.

**Moral: Nonhuman sentient beings are part of our moral universe.**

Feeling and knowing that other sentient beings suffer as we do, and believing (it is beyond most of us to verify this personally) that we are ontologically linked in transmigration, we refrain from hurting them.

The prohibition of killing and injuring nonhuman sentient life was characteristic of Buddhism in India from very early times until the age of its decline, as attested not only in Buddhist texts but also in other sources, from the third century B.C.E. A okañ rock edicts,\(^8\) to the eleventh century C.E. Hindu story collection, the Kathasaritsagara.\(^9\)

As far as the Buddhalegend is concerned, A vagho a stands out for the succinctness of his statement of the position. When King Bimbisra tries to persuade the Bodhisattva that he should carry out animal sacrifices, the Bodhisattva replies:

> As for your saying that for the sake of dharma I should carry out the sacrificial ceremonies which are customary in my family and which bring the desired fruit, I do not approve of sacrifices; for I do not care for happiness which is sought at the price of others’ suffering. For it does not befit the man of compassionate heart to kill another being, who is helpless, out of a desire for a profitable outcome.\(^{10}\)

The moral response to nonhuman beings promoted in the Buddhalegend is not a purely negative one (refrain from killing, refrain from hurting), but extends to the idea of protection and care —
to actively seeking the welfare of the other. In several versions of the Buddhalegend, but most forcefully in the Fo Pen Hsing Chi Ching, a story is told of a youthful dispute between the Bodhisattva and his vicious cousin Devadatta over a wounded bird. Devadatta shoots a goose with his bow as it flies overhead. The Bodhisattva picks it up, soothes it, removes the arrow, tends the wound, and refuses to give the bird to his cousin. Devadatta is outraged and insists the goose be given to him. He has the right of possession, he says, because he took the bird first. The Bodhisattva replies that he took it long before Devadatta: he took it many lifetimes ago when he had the aspiration for enlightenment and drew all living beings into his care.\footnote{11}

\textbf{Soteriological: The liberation of nonhuman sentient beings is a possibility; moreover, human liberation depends on appropriate action toward the nonhuman.}

The doctrine of multi-level rebirth, verified in the Buddha's enlightenment, makes it evident that humanity is merely one state that living creatures can pass through as they wander through the transmigratory process. Not only humans can become liberated, but all those involved in the process.

That we humans impede our own spiritual progress if we injure living beings is, of course, clear from the fact that the first moral precept is directed against the taking of the life of sentient beings. The point is also made in numerous textual statements and is implied in many episodes in the Buddhalegend. Another passage from the Fo Pen Hsing Chi Ching may be taken as representative of the position. The passage is especially interesting because of its assumption of the kinship of living beings and because of its reference to the sacrifice of a sheep — a motif that will interest us later.

A group of brahmanical ascetics tells the Bodhisattva about a king who, in order to obtain a favourable birth, performed a sacrifice to the gods in which many living beings were killed. When the ascetics
defend this act as a practice handed down by religious tradition the Bodhisattva questions them:

"How can you use the word 'religion' [fa, probably for dharma] for the infliction of suffering on others? If your body is smeared with filth and you scrub it with filth does it come clean? If your body is covered with blood and you wash it in blood does it come clean? It is not possible to make progress in religion by practising irreligion."

"It is indeed possible."

"On what basis?"

"On the basis of the Vedas, spoken by the sages of bygone times."

"What do they say?"

"If people perform sacrifices to the gods this is to be called religion."

"Let me ask you about a matter in the realm of everyday action. If you make progress in religion by killing a sheep and sacrificing it to the gods, why not kill your own beloved family and offer it to the gods? Reasoning thus, I know that killing a sheep and offering it in sacrifice is without merit. You think as you do because your religion is confused."\(^{12}\)

Notice that the author is not interested in arguing for the kinship of living beings: he or she assumes it. The passage, very typical of Indian Buddhist literature in its criticism of animal sacrifice, makes it clear that spiritual progress is incompatible with the injury of living beings.

The five-faceted model of the kinship of living beings as set forth here rests on four convictions that lie at the foundation of the Buddhalegend and are characteristic of Indian Buddhism generally: (a)
the condition of sentient beings, not the condition of human beings, is the proper object of analysis; (b) suffering is not a fact of mere human life but of the life of sentient beings generally; (c) just as the suffering on which we are to brood includes the suffering of other beings, so too the questioning to which suffering gives rise includes as object the natural world generally; (d) enlightenment — the final fulfillment of our questioning — engages and illumines the suffering condition of the natural world generally.

The Buddhalegend’s view of the kinship of living beings was by no means the standard one in all of the regions and cultures reached by the story. With the possible exception of a few stories in the Chuang Tzu, for example, there was little that corresponded to this view in the literature of classical China. Curiously, however, when the Chinese received the Buddhalegend (which they did in about eleven versions) their translations do not seem to have diluted, removed, or disguised the kinship of living beings. They retained it and made it accessible to Chinese readers. The journey of the story to the West was more complex.

The Kinship of Living Beings and Its Journey to the West

The Buddhalegend traveled to the West in two waves. The second wave, to which, for example, Edwin Arnold’s English life of the Buddha, The Light of Asia, belongs, resulted from European colonialism of the eighteenth and nineteenth centuries. Missionaries, orientalists, and colonial functionaries gathered the elements of the Buddhalegend that they found in their particular region and published them, while popularizers such as Arnold carried the story to larger audiences. Although all of these people had their own agendas, the story was transmitted in reasonably intact forms to the West, and the kinship of living beings comes through powerfully in several of their
works. In The Light of Asia, for instance, this kinship is explicitly named and celebrated.\textsuperscript{15}

The Buddhalegend's first journey to the West was quite different. When medieval Europe received the tale, the Buddha had been transformed into a Christian saint who converts Indian pagans to Christianity. Called Barlm and Josaphat, this retelling of the story enjoyed wide popularity in Western Europe from about the eleventh to the sixteenth century. The story arrived from the East in Arabic and was translated from Arabic into Georgian, from Georgian into Greek, from Greek into Latin, and from Latin into the various vernaculars (Spanish, French, English, German, and so on).\textsuperscript{16} In addition to its circulation as an autonomous text, it was included prominently in Jacobus de Voragine's Golden Legend, one of the most widely read books in Europe in the medieval period.\textsuperscript{17} There are two versions of Barlm and Josaphat that are especially important for us.

The "Ismaili Arabic" version is recognized as the oldest complete version of the story to have survived.\textsuperscript{18} Although it was preserved by the Ismaili community it is not explicitly Muslim. It has been dated to 750-900 C.E., with a leaning toward the earlier date.\textsuperscript{19}

The Balavariani,\textsuperscript{20} the oldest Christian version of Barlm and Josaphat, is in Old Georgian and is clearly based on an Arabic text very similar to, though not identical with, the Ismaili Arabic version.\textsuperscript{21} The story has, however, undergone very significant changes and differs in major respects from the Ismaili Arabic story. That most of these changes were introduced at the time of translation into Georgian as part of the Christianization of the text cannot be proven, but seems likely. Changes include the omission of a great deal of material deriving from the Indian Buddhalegend. The Balavariani appears to date from the ninth or tenth century C.E.\textsuperscript{22}

As far as the European Barlm and Josaphat tradition is concerned, the above two versions are not mere branches of the textual tree: they form the trunk. The Balavariani was translated into Greek by
Saint Euthymius in the period 975-987 C.E., and this text was soon (as early as 1047 C.E.) translated into Latin. The Latin versions became the main basis both of the Barlaam and Josaphat in the extremely popular Golden Legend and of the numerous vernacular versions that appeared, in manuscript and in printed form, over the next several centuries. What this means is that whatever the Balavariani omitted during its reformulation of the Arabic story remained absent from that point on in the European evolution of the tale. The kinship of living beings is one such omitted concept.

The Kinship of Living Beings in the Ismaili Arabic Version
The Ismaili Arabic text retains far more features of the Indian Buddhalegend than other surviving texts. For example, in the Balavariani only the early part of the story retains elements obviously derived from the Indian Buddhalegend, and even there, many of the elements present in Buddhist versions of the story are missing. In the Ismaili Arabic text, on the other hand, both the opening and ending sections of the story have details characteristic of Buddhist versions of the tale, and even the middle sections of the tale contain some Buddhist elements — including Buddhist stories and direct reference to "al-Budd" (the Buddha). In contrast to the sprinkling of Buddhalegend remnants found in the Balavariani, there are, in the opening and ending sections of the Ismaili Arabic text, dozens of distinct narrative elements inherited from the Buddhalegend or other Indian Buddhist literature.

What remains in the Ismaili Arabic text of the Buddhalegend's concept of the kinship of living beings? We find no reference to transmigration or to the idea that human beings have passed or will pass through the condition of nonhuman at some point in their development. We find no explicit affirmation of the kinship of living beings. We find no single incident in the text that perfectly replicates
any of the incidents in the Buddhalegend's repertoire dealing with the relation of human to nonhuman — no incident of the disputed goose, no field creatures, and so on. We do, however, have a strident vegetarianism that regards the eating of meat with horror and situates the avoidance of meat near the centre of the spiritual life;\textsuperscript{28} an apparent opposition to animal sacrifice;\textsuperscript{29} a series of animal tales in which animals converse with each other and with human beings;\textsuperscript{30} and a small number of mysterious passages that seem to me to hint at a concept of the kinship of living beings.\textsuperscript{31} I shall concentrate on one of these latter passages: the sheep episode.\textsuperscript{32}

The story's protagonist, Prince Josaphat (actually "Budasaft" in this early stage of the text, a name derived from "Bodhisattva"), becomes unsatisfied with his father's sensual and violent religion. He is drawn toward world renunciation. The King is worried that the Prince will give up his royal heritage, so, on the advice of his astrologers, he makes two attempts — I shall refer to these as "tests" because this is what they obviously are to the implied author of the text — to seduce the young man into worldly life. First, he surrounds him with beautiful women who try to gain his attention and arouse his desire. Josaphat ignores the women.

Josaphat is then subjected to a second test. The astrologers tell the King that if his son is to be drawn back to the world he must be made to spill blood (Gimaret's translation from the Arabic: "ce garçon ne s'attacherà à nulle chose de ce monde, tant qu'il n'aura pas versé le sang"). Accordingly, his father and mother present him with a sheep and tell him to cut its throat. They explain that the gods have graced them with many things, including the Prince's birth, and they wish to have the boy kill the sheep so that they may eat it as part of a ritual offering ("Nos dieux nous ont comblés de leurs bienfaits, dirent-ils, et ils nous ont fait la grâce de ta naissance. Nous voudrions donc manger une bête égorgée par toi.")). The Prince does not wish to participate. He objects that he is too sensitive for such an act and that, moreover, the
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deed would constitute a great sin. ("Dispensez-moi de cela, dit Bûdâsf, j’y serais trop sensible, ce serait pour moi une trop grande peine et un trop grand péché.") When his parents insist that they will take on themselves whatever sin the acts involves, he consents. With his father holding the head of the prostrate sheep and his mother holding the feet, and with his left hand on the sheep's neck, he raises the knife over the sheep. He then deliberately plunges the knife into his own hand and faints.

We begin our exploration of the significance of this passage by looking for Buddhist parallels. I am not aware of any incident, either in extant versions of the Buddhalegend or in Indian Buddhist literature more widely, identical to this one. Still, there are certainly Buddhist literary precedents. In the Buddhalegend itself, there are the passages referred to earlier in this article, notably the Buddhacarita's references to animal sacrifice (see also the reference in the Buddhacarita to the Ku.tadanta Suttanta, one of the most famous texts in the nikyas opposing animal sacrifice), and the argument in the Fo Pen Hsing Chi Ching between the Bodhisattva and the brahmanical ascetics in which the sacrifice of a sheep figures prominently. In Indian Buddhist literature beyond the Buddhalegend, we encounter the motif of a virtuous Prince who sees animal sacrifice taking place under his father's reign and determines to put an end to it. The Prince may be forced into conflict with his father, may criticize the father's (brahmanical) religion, and may clearly associate the animal sacrifice promoted by the father's religion with a destiny in the hells.

But is there a precedent in Indian Buddhist literature for structuring such episodes as tests? I know of only one such precedent, the Lomasakassapa Jataka. In this story, two friends go their separate ways when they reach adulthood. The first becomes a king, while the second, named Kassapa, becomes an ascetic. The god Indra, jealous of the spiritual power of Kassapa and aiming to destroy his asceticism, entices the King (Kassapa's boyhood friend) into persuading Kassapa
to offer a sacrifice of animals. The King uses his beautiful daughter to entrap Kassapa, who succumbs to her beauty and agrees to offer sacrifice if he can have her as his wife. He approaches the sacrificial pit with the sword used for the sacrifice, but at the last moment, hearing the terrified cries of the animals, he comes to his senses. Full of remorse, he says that he has done "a sinful deed" (pā-kammam)\textsuperscript{36} and puts down his sword. He renounces both the girl and the sacrifice and returns to the Himalayas where he spends his time practicing meditations on loving-kindness toward all beings. In the frame tale we are then told that Kassapa was none other than the Bodhisattva (who would in a later life become our protagonist, the Prince).

Note the elements that this text shares with that in the Ismaili Arabic text: (a) a king, blinded by his devotion to his gods, puts an asceti
cally minded man to the test, attempting to win him back to love of the world; (b) he tries to accomplish his aim by drawing the ascetic into infatuation with women and the spilling of the blood of an animal; (c) the ascetic, so sensitive that he cannot bear to kill the animal, refuses at the last moment to do so and thereby, from the point of view of ascetical religion, passes the test; (d) the ascetic announces that he has in this way avoided committing a serious "sin" or evil deed; (e) the ascetic is none other than the being who will later reach enlightenment, a being explicitly called the Bodhisattva in the Jataka tale and therefore being linked to Josaphat (=Budasaf) in the Ismaili Arabic text.

I doubt if the transmitters of the Ismaili Arabic text were familiar with the Lomasakassapa Jtaka as such. However, it is possible that they had inherited a set of more of less integrated Buddhist values, ideas, and literary motifs, of which the Lomasakassapa Jtaka is an instance, and that they creatively drew these values, ideas and motifs together in a newly written passage involving a sheep.
Does the sheep episode in the Ismaili Arabic text imply a concept of the kinship of living beings? There is no easy answer to this. An answer would have to be sought through a detailed analysis of the entire text and, especially, a careful interpretation of several narrative incidents in the text. For the purposes of the present article, I shall merely attempt a summary of the text's position on each of the five aspects of the Buddhist concept of the kinship of living beings outlined earlier.

Firstly, there is no clear statement, during the sheep episode or elsewhere in the Ismaili Arabic text, of a metaphysics of commonality. Certain narratives and episodes may, however, imply such a metaphysics. There is, for example, a story of parents who are forced to eat their own children. The tale comes from Buddhist literature, and in its context in the Ismaili Arabic text seems to imply that when we eat food we eat our own kin. Moreover, there are other tales, notably that of the harmless vegetarian bird that is being hunted to extinction, that appear to suggest that the transmitters of the text are being persecuted for their nonviolent beliefs and must dissimulate and disguise their message to avoid extermination. This may explain the absence of an explicit teaching of commonality.

Secondly, the affective aspect of the kinship of living beings is clearly indicated in Josaphat's statement that he is too sensitive ("j'y serais trop sensible") to kill the sheep. He feels too deeply; he cannot bring himself to do the act and would rather suffer the pain of the knife himself.

Thirdly, the text values knowledge as a means to salvation and gives it a higher place than subsequent versions of Barlm and Josaphat, where faith comes to increasing prominence. In this it is, of course, retaining a key element of the Buddhalegend. Knowledge is personified in the ascetics, such as Barlm, who in the text represent true religion, and they are precisely the ones who are strict vegetarians and who, like Josaphat in the sheep episode, decline to participate in
animal sacrifice. Although it is nowhere said explicitly, the clear implication is that the sensitivity Josaphat demonstrates in his reaction to the sheep is not merely a matter of correct feeling but of correct seeing.

Fourthly, as for the morality of the killing of animals, Josaphat is not content to say that he cannot kill the sheep because he is too sensitive; he also says that it is a sin ("un trop grand péché").

Finally, it is obvious, soteriologically, that if killing nonhumans is a sin it is an impediment to the religious progress of the one doing the killing. We cannot go further than this and say that salvation or liberation is available to nonhuman sentient beings. The text neither affirms nor denies this.

In short, the Ismaili Arabic text represents a midpoint in the erasure of the Buddhalegend's message on the kinship of living beings. A good deal of the message is gone; a substantial portion remains. It will be left to the next phase of textual transmission to complete the erasure.

The Absence of the Kinship of Living Beings in the Balavariani

The Balavariani, the first Christian version of Barlm and Josaphat, omits numerous Buddhist elements in its telling of the tale. By this point in the textual transmission, not only the lengthy and bizarre references to al-Budd (who is portrayed in the Ismaili text as a great prophet of God), but also such important events as the protagonist's enlightenment under the bodhi tree, have been removed.41

As for the kinship of living beings, the Balavariani lacks the crucial narratives and narrative fragments mentioned above. It has not a hint of the sheep episode. Nor does it have the parable of the harmless bird or the story of the cannibal parents. Of the Ismaili Arabic's animal fables, few remain. Vegetarianism persists, but in a muted and Christianized form. There are several references to the fact
that Barlm and his company of ascetics do not eat meat, but there is
not the emphasis on this practice that we find in the Ismaili Arabic
text, and Barlm's vegetarianism does not go beyond what was
historically considered acceptable among mainstream Christian
ascetics. To put the matter briefly, not a single one of the five aspects
of kinship outlined earlier in this paper can be found in the Balavariani
— and we will not be surprised to find that none will be found in the
numerous European versions of Barlm and Josaphat that are
descended from the Balavariani.

I have come to believe that the changes and omissions that
have erased the kinship of living beings are neither random nor mere
side effects of a drive for literary unity. I believe they are part of a
larger, systematic erasure of Buddhist elements carried out by the
author/redactor of the Balavariani, or at some stage of the textual
transmission directly preceding the formation of the Balavariani. This
erasure seems to me deliberate, not in the sense that the
author/redactor identified the objectionable elements as Buddhist, but
in the sense that he or she identified them as misplaced in a Christian
tale and even as dangerous signs of heresy.

But what is dangerous about the kinship of living beings, and
what is dangerous about the sheep episode specifically? For answers to
these questions, we must be willing to go outside the text. There is
evidence of actual, historical tests that parallel the sheep episode, and
this evidence suggests that Prince Josaphat's comportment in the
episode would make him a heretic — most likely a Manichaean — in
the eyes of the Christians who received the story of Barlm and
Josaphat.

The Sacrifice Test, the Food Test, and the Killing Test

There are three types of tests whose historical use is attested
and that could have informed the reading and reception of the sheep
episode. All three have a common basic structure. A principle crucial to the identity of the tested person is selected by the tester. The tested person is challenged to relinquish this principle, thereby relinquishing his or her identity and going over to a new identity.

The first sort of test has been called the sacrifice test. It is amply illustrated in Christian martyrdom literature, and the events that inspired that literature, deriving from the time of the Roman Empire of the first to the fifth centuries C.E. Christians were pressured to participate in the Roman sacrificial cult.\textsuperscript{43} For the tester, loyalty to the gods, to the emperor, and to the Roman state were all simultaneously tested. For the Christian being tested, loyalty to God and to the Christian religion and community were tested. The Christian who refused to participate — who failed the test from the point of view of the tester and passed it from the point of view of the tested — could expect to lose the physical body through execution but to win the crown of martyrdom. The literature in which the test appears fictionalizes enthusiastically, drawing on motifs established early, but there is no doubt that actual tests and actual martyrdoms occurred.\textsuperscript{44}

Now, our Ismaili Arabic text is stoutly monotheistic. It denounces polytheism and idolatry,\textsuperscript{45} linking them to love of this dreadful world that is under the power of Satan. It shows people being tortured and executed for refusing to go over to the polytheism of the ruler of the realm.\textsuperscript{46} Because Josaphat's parents wish their son to take part in an act of sacrifice to their gods, it is reasonable to guess that part of the immorality of the act of sacrifice, for the transmitters of the Arabic text, derives from its participation in idolatry. Josaphat's refusal to sacrifice to the gods indicates his growing dissatisfaction with the religion of his family and his quest for a better form of religion.

In our story, however, the immediate objective proposed by the king's advisors is the spilling of blood by the boy. This is the act that will ruin his renunciatory spirit. We hear about sacrifice to the gods only when the boy's parents rationalize the act to him. We will also
remember that in the sacrifice test as recorded in Christian martyrdom literature referred to above, the tested person does not actually kill the victim. The tested person is merely pressured to taste of the already immolated victim. There is, in this literature, very little interest in the death of the sacrificed animal.

Most important of all, if the test in the Arabic text were read by Christians as an sacrifice test, there would have been no need to omit it. Such tests were well known in Christian literature, and Josaphat's refusal to sacrifice would have been praised. We therefore cannot rest content with the sacrifice test as an interpretive key to the episode, whatever it might add to our understanding.

The second kind of test that needs to be considered is the food test. Diet has often been a visible sign of religious and cultural identity. Purity, sacrality, loyalty, and group membership — with all the accumulated weight of scripture, community, and tradition — may be put at stake in the dietary choice. The particular food tests in which we are interested here are those that involve abstention from meat.

In Christian asceticism, abstention from meat was common from early times, often in association with abstention from alcohol. Even the most extreme forms of vegetarianism — such as grazing on uncultivated plants — can be attested for some Christian sects. A variety of Biblical texts was used in support of vegetarianism but, besides the linking of meat in the early Christian period with idolatrous sacrifices, the two most common reasons given to support abstention were the association of meat with pleasure, luxury, and wealth and the alleged contribution of meat to concupiscence. Strict practice of this form of abstention was associated mainly with the spiritual elite rather than with the laity.

Abstention from meat was an ambiguous symbol for mainstream Christians because such abstention had been associated from an early period with systems rejected as heretical, such as Marcionism and Manichaeism. These groups took their vegetarianism
and their asceticism seriously and linked them to an interpretation of
the world that was unacceptable to Christian orthodoxy as it gradually
defined itself.\footnote{Food tests were used at various times to identify Manichaeans. Samuel Lieu tells us, for example, that the "Patriarch Timothy of Alexandria (patriarch from 380-85) was so alarmed by the extent of Manichaean infiltration into the ranks of the clergy and monastics that he instituted food-tests by allowing monks to eat meat on Sundays and thereby singling out the Manichaeans among them."\footnote{In medieval Europe, it was especially as heresy became a serious preoccupation of the church in the eleventh century that abstention from meat became a major sign of deviance. In Aquitaine in 1018 C.E., in Monteforte around 1028, in Chalons in the 1040s, and at Goslar in 1051, abstention from meat was characteristic of condemned groups. Then, beginning in the twelfth century with the rise of the Cathar movement, vegetarianism became an entrenched sign of heterodoxy and was suspected by the Church of being an expression (as, indeed, it was in the case of the more radical Cathar groups) of the proscribed doctrine of transmigration. The medieval Church frequently called groups holding to this practice Manichaeans, even though they had few of the beliefs associated with the religion created by Mani. In constructing the dissidents as Manichaeans, the Church was able to mobilize the writings of the Church fathers, especially Augustine, against them. Avoidance of meat became a dangerous practice for religious groups, even when it had no connection to transmigration and other condemned doctrines.}

Could it be the eating of meat, then, that is crucial to the reading of the Arabic text? Josaphat's parents do mention eating the flesh of the sheep ("Nous voudrions donc manger une bête égorgée par toi"), and the text explicitly supports a strict vegetarianism. Yet, while this interpretation enriches our understanding, it seems not to get at the

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heart of the issue. We return to the fact that it is the spilling of blood that is said to be the crucial matter. While the eating of meat can be carried out by the parents, the killing of the sheep has to be done by the son. Moreover, because the son refuses to kill the sheep, the eating of meat does not present itself as a possibility, and no food test is in fact carried out. We are therefore forced to consider a third test that might have been familiar to the receivers of Barlm and Josaphat.

In 1051 at Goslar, a town in what is today Germany, a group accused of heresy was examined by ecclesiastical authorities. The heretics:

were finally condemned when one of the bishops, more zealous in his presentation of the case than mindful of the dignity of his rank, presented them with a live chicken and ordered them to wring its neck. They refused to kill the bird, and were deemed beyond hope of redemption. Ignoring the arguments and threats of the assembly, they refused to recant and were hanged upon a gibbet.54

The execution of these heretics, as near as can be determined by modern scholars, was ordered because it was felt that "their attitude implied a dualist-type belief in the transmigration of souls through the animal kingdom"55 and suggested that they were Manicheans. The events at Goslar — and this group was not alone among persecuted Christian groups in the eleventh century C.E. in its refusal to kill animals56 — are often treated by scholars as an important step toward the twelfth century full-blown assault on heresy by the Church linked to the newly proclaimed death penalty for heresy.57

Historically, the refusal to kill animals has certainly been linked to the refusal to eat meat, but I believe we must distinguish the two refusals. The abstention from meat found among Christian ascetics typically had little to do with a refusal to kill animals.58 Buddhist
refusal to kill animals was usually not manifested in strict vegetarianism.\textsuperscript{59}

In any case, the need for a separate killing test in Christendom is clear. Food tests simply could not be relied upon to create an accurate binary system. One could abstain from meat for orthodox and acceptable reasons or for heterodox and unacceptable reasons. Only the killing test had the required finesse.

The parallels between the Goslar event and the episode in the Ismaili Arabic text are obvious. In both cases, someone is subjected to a religious test. In both cases, the tested person is required to kill a nonhuman creature. However, what constitutes a pass for the implied author of the Arabic text constitutes a fail for the Christians who recorded the Goslar event. The Goslar group was pronounced heretical and executed precisely for acting as Josaphat acts. Surely we have made some progress toward the solution of our textual mystery? It was necessary to remove the test episode from Barlm and Josaphat before allowing the story into Christendom because the episode would have been considered heretical.

But why would we think the omission of this episode had anything to do with the events at Goslar? The removal of the episode from the Barlm and Josaphat tradition must have preceded the Goslar incident by at least a century.

If the events at Goslar were unique, this question might have considerable force, but there are other examples of the killing test being used against alleged Manichaeans. In the Sasanian empire during the reign of Shapur II (309-379 CE), for example, Zoroastrians used the test.

\textquote{The Manichaean satisfied the authorities that his conversion was genuine by killing some ants, since it was understood by the authorities that Manichaeans would not exterminate what they themselves called the 'Living Spirit.'}\textsuperscript{60}
Mas'udi, in his Meadows of Gold, draws a picture of Manichaeans from Basra being put to the test by Muslims in the days of the Caliph Al-Ma'mun (813-833 C.E.). The accused, who are in chains, say to a man who has fallen in with them,

As for us, we are Manichees who have been denounced to Al-Ma'mun and are being brought before him, and he will ask us what attitude we take and exhort us to discard our doctrine and invite us to abjure it and be converted by putting all sorts of trials upon us. These include his showing us a picture of Mani and commanding us to spit on it and renouncing him. And he will order us to sacrifice a partridge. Whoever acquiesces, thereby saves his life; whoever withholds, is killed.61

Lieu says such tests were common under the 'Abbasids.62

It is difficult to believe that there is no connection between the tests that occurred in these three regions. We are surely justified in positing a historical tradition of a killing test used to discover Manichaeans. We cannot prove that those who removed the killing test from Barlm and Josaphat were aware of this historical killing test, but it is plausible to think that they were.

Our investigation has a further step. We wish to ask more precisely what the episode might have meant for the historical communities likely to have transmitted and removed it. It will be helpful to distinguish those subjected to the killing test from those who used it to test others.

The Tested
The association of the killing test with Manichaeism leads us to wonder whether Manichaeans may have played a key role in the development and transmission of Barlm and Josaphat. This would not
be a novel suggestion. The possibility of a Manichaean role in the story's development has been an issue of scholarly debate for years.\(^6^3\) (As far as I am aware, the presence of the sheep episode has not previously been used to support this position.\(^6^4\)) We certainly know that Manichaeans had, from an early period, a version of Barl m and Josaphat.\(^6^5\) If the Arabic text's view of the kinship of living beings is essentially Manichaean, we can speculate about the meaning of the test episode and of the killing test more generally.

The placement of the test in the Arabic text fits with the Manichaean hypothesis. Its association with the seduction test would make sense given the Manichaean attitude toward sexuality: procreation furthers the entrapment of the dispersed particles of the Light in Matter.\(^6^6\) Its situation directly before Barl m's entrance would make sense as well: Josaphat's passing of the killing test has demonstrated his worthiness for instruction in the true faith, and he is now ready to receive the teacher. Moreover, all the references in the text to the deaths of the faithful take on a new immediacy if the text is Manichaean. The religion went through periods of severe repression in the Middle East during the period when Barl m and Josaphat was making its way through this region to Europe. The harmless bird who has to hide its vegetarian diet becomes the Manichaean, forced to look like others and to speak only indirectly about the true faith.

If the text is Manichaean, all three historical tests — the sacrifice test, the food test, and the killing test — converge in the passage dealing with the sacrifice of the sheep, although the killing test occupies a position of privilege. One of the reasons Mani and his followers denounced animal sacrifice was because they opposed idolatry.\(^6^7\) They also regarded the eating of meat as wrong. While it was apparently sometimes tolerated in Hearers (roughly equivalent to lay persons in Buddhism), it was absolutely forbidden in the Elect (roughly equivalent to the monastic order in Buddhism).\(^6^8\) One of the "five commandments" in Manichaeism was abstention from the eating...
of meat; and one aspect of the "seal of the mouth" was the rejection of
meat-eating.\textsuperscript{69} It was, of course, for this reason that food tests could be
used to discover Manichaeans.

Above all, the killing of animals was forbidden in
Manichaeism, both to the Elect and to Hearers. One of the five
commandments forbade killing, as did the "seal of the hands."\textsuperscript{70}
Indeed, Mani's opposition to hunting may have been one of the causes
of his execution.\textsuperscript{71} According to the Manichaean view, Light is
dispersed throughout the Material realm in the different sorts of living
beings. Moreover, souls transmigrate through various life forms.\textsuperscript{72} And
this position on the metaphysical commonality of souls was
complemented with a further affirmation: animals and plants — even
the very earth and water — are sentient and suffer when injured, and
violence against them is for this reason wrong.\textsuperscript{73} Augustine, in his
efforts to refute Manichaeism, took special delight in ridiculing the
idea that plants suffer, and he speaks with embarrassment of his own
early days as a Manichaean and of his "being insensibly and step by
step drawn on to those follies, as to believe that a fig-tree wept when it
was plucked, and the tree, its mother, shed milky tears."\textsuperscript{74}

If the Ismaili Arabic Barl m and Josaphat was transmitted by
Manichaens, the sheep episode resonates with the suffering of the
faithful. The test reflected in this passage was actually used to discover
adherents and to force them to choose between identity change and
death. It was part of the machinery of their extermination.

Of course, whether Barl m and Josaphat was constructed by
Manichaens or by some other group, such as a deviant and persecuted
Buddhist sect in the Sasanian empire,\textsuperscript{75} or one of the other religious
splinter groups in the Middle East that forbid the slaughter of animals
— "low church" off-shoots of Zoroastrianism or heretical Muslims,\textsuperscript{76}
for example, or even dissident Christians,\textsuperscript{77} — we cannot be certain
that the original inclusion of the sheep episode in the Barl m and
Josaphat tradition was based on the historical practice of the killing
test. It is possible that the scene had a literary rather than a social origin, and I have tried to make this plausible by referring to similar passages in Buddhist literature. Whatever the reason for the episode’s original inclusion, its meaning for its eventual transmitters, and especially its meaning for those who removed it as it entered Christendom, was likely determined by the historical practice of the killing test. The Christians who removed the episode would not have been familiar with Buddhist literary motifs, but they would have had ideas about what heresy was and what tests had been used to discover it.

The Testers

I shall here leave aside Zoroastrians, Muslims, and various other historical persecutors of Manichaes in favor of Christians, because it appears that Christians are the ones responsible for having removed the sheep episode from our story as it was entering Europe.

What the precise motives of those who removed the episode were we do not know. Nor do we know in detail the reasoning of those who tested the heretics at Goslar. Both preceded Aquinas, for example, so we cannot look for his influence. The writings of Saint Augustine were uniquely influential in the medieval period among Christians trying to work out a position on the "Manichees" they encountered: his nine years as a Manichaean during his youth gave him considerable knowledge of this religion, and his subsequent critique of it helped create a model for the Christian rejection of Manichaeism and of wrong views on the relationship between human and nonhuman beings. That Augustine's writings influenced clerics at Goslar and elsewhere in the eleventh century C.E. is likely, that his writings were crucial in the campaign against the Cathars that began in the twelfth century is certain. A summary of his position relative to the five aspects of the concept of the kinship of living beings found in
Indian Buddhism may give us some insight into the meaning of the test episode for the Christians who removed it.\textsuperscript{80}

Augustine's metaphysics do not deny all commonality between animals, plants, and human beings. Animals and plants, like us, are creatures; and having been created by God they, like us, are good. Their goodness, like our own, is derivative and dependent because God is the source of all goodness and the only one who is fully good. Augustine held to a clear hierarchy of existence, according to which some beings are closer to God, and therefore more good, than others. Human beings, who have been created in the image of God — meaning, for Augustine, that they have been given a rational soul — are closer to God than animals and plants. Augustine's writings therefore speak of animals and plants as in a different "class" than humans and as not sharing a "common nature" or "common rights" with us.\textsuperscript{81}

In accepting a hierarchy of being and a hierarchy of value, Augustine does not put himself immediately at odds with the Indian Buddhist view. However, the differences between the levels in his hierarchy are stronger than those in Indian Buddhism, and his commonality between humans and nonhumans is weaker. In Indian Buddhism, humans are regarded as more rational than those beings considered lower in the cosmic order, but there is no question of humans possessing a different sort of soul from other beings, and multi-level transmigration powerfully affirms the common nature of living beings.

Augustine did not deny that animals feel pain — though he ridiculed the Manichaean idea that plants suffer — but he denied that human beings should be greatly disturbed by this pain. He said to his Manichaean opponent, "I find no fault with your senses, that is, your bodily senses, here, inasmuch as we can perceive by their cries that animals die in pain, although we make little of this since the beast, lacking a rational soul, is not related to us by a common nature."\textsuperscript{82}
Augustine did not recognize increased sensitivity to nonhuman suffering as a sign of increased knowledge or enlightenment. He characterized this sensitivity as "follies" and "superstition." The contrast with the Buddhist position is sharp here. The sensitivity toward the suffering of the nonhuman seen in the Buddhalegend as the product of many lifetimes of training and sacrifice is seen by Augustine as delusion. I leave to one side the debate over the possible suffering of plants, on which there is more than one position in Buddhist texts.

Augustine did not believe the killing of nonhumans by humans was wrong. "Christ Himself shows that to refrain from the killing of animals and the destroying of plants is the height of superstition, for, judging that there are no common rights between us and the beasts and trees..." Against the Manichaeans, Augustine defended animal sacrifice as advocated in the Hebrew Bible. He believed the practice was no longer necessary because its role was merely to prefigure the true sacrifice in Christ, but he denied that there was anything immoral about the killing of animals per se. Once more, the contrast between his position and the Indian Buddhist position is sharp.

Finally, it is clear that for Augustine there is no salvation for the animal soul, nor is the salvation of humans impeded by the killing of animals.

Augustine, then, was moved to take a position on the kinship of living beings quite different in almost every respect from the position affirmed in the Buddhalegend. It is not difficult to see how his writings could have been interpreted as supporting the removal of the sheep episode from our text and could have been used to legitimize the historical use of the killing test.

What shall we conclude about the meaning of the sheep episode for Christians in the medieval period, who were utilizing an Augustinian model of heresy? The episode would have dramatically represented a wrong view, a proscribed heretical view, about the
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relationship of the human to the nonhuman. It would have been seen as giving to nonhumans a greater dignity than they deserved. Indirectly, the episode would have been seen as representing thought systems and social groups — especially the Manichaean heresy — that insulted God and led human beings to perdition.

Final Words

Buddhism, as an institutionalized religion, was little known and had little direct influence on Europe in medieval times. What influence it had was mediated through its stories, numbers of which worked their way from India to Europe over long periods of time and were subject to religious, cultural, and ideological filters, and through other religious systems, which borrowed from Buddhism and spread Buddhist ideas in mediated, filtered, and transmuted ways throughout Europe. Foremost among such stories was the Buddhalegend, and foremost among such religious systems was Manichaeism.

The relation of human to nonhuman life is one of the areas of thought where Buddhism is most likely to have exerted a crucial influence on the founder of Manichaeism during his residence in the Northwest of the Indian subcontinent. Although the Manichaean and Buddhist positions on the kinship of living beings are far from identical, there are important commonalities. In fact, it can be argued that all five key elements of the Buddhist model of kinship can be found in Manichaeism. This being the case, we may say that the historical killing test was not merely a conscious means of detecting and eliminating Manichaean ideas and values (as well as actual Manichaëans and their institutions), but an unconscious means of eliminating Buddhist ideas and values. Buddhist views of the kinship of living beings were prevented, through such means, from being transmitted to the West in the medieval period.
As the socio-political filters did their work, parallel textual filters eliminated dangerous Buddhist ideas and values from written sources. The Buddhalegend was in this way kept from speaking its word about the kinship of living beings until its second wave of westward transmission, during the advent of the Romantic period.

Endnotes


(2) P. Harvey, op cit., pp. 156-157.

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(4) For examples in the Fo Pen Hsing Chi Ching see Beal, op cit., pp. 72-73, 73-74, 91-92.


(10) E. H. Johnston, op cit., canto xi, verses 64, 65, p. 162 (Sanskrit text 125-126).


(13) See Taisho Shinshu Daizokyo, vols. 3 and 4, nos. 184 ff. The number of versions is approximate because the above texts do not all represent genuinely distinct versions of the tale, and because many fragments of the legend are scattered widely throughout the Chinese Tripitaka.

(14) E. Arnold, The Light of Asia: Being the Life and Teaching of Gotama, Prince of India and Founder of Buddhism (Chicago: Rand, McNally, 1890; originally published 1879).

(15) E. Arnold, op cit., pp. 152, 192, 207.


(17) See MacQueen, "Rejecting Enlightenment?"


(19) Ibid., p. 61.


(21) Gimaret, op cit., p. 53.
(22) Bräm, op cit., p. 70.

(23) Bräm, op cit., p. 64.

(24) Bräm, op cit., p. 76.


(27) In unpublished research, I have identified approximately seventy such distinct narrative elements in the Ismaili text.


(29) Ibid., pp. 66, 81 ff., 196.

(30) Ibid., pp. 96, 153-155, 180-181, 192-193, 204 ff. See also the treatment of Josaphat's horse, Ibid., p. 209.
(31) E.g., ibid., 81 ff., 93-94, 180. Undoubtedly, the attitude towards animals in this text is mixed. The tendency to put important truths in the mouths of animals is balanced by a conviction that animals are beneath humans and that human beings must work to distinguish themselves from animals. See, e.g., the parable of the princes and the monkeys, 180-181.

(32) Ibid., 81 ff.


(36) Ibid., vol. 3, p. 309. The Pali can be found in V. Fausboll, ed., The Jtaka together with its Commentary (London: Luzac & Co., 1963), vol. 3, p. 518. Concepts of sin are, of course, far from identical in the two contexts, but in both cases what are at issue are deeds that pollute and that impede the achievement of salvation or liberation.

(37) Gimaret, op cit., pp. 93-94.


(40) MacQueen, "Rejecting Enlightenment?" and "Changing master narratives in midstream."
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(41) MacQueen, "Rejecting Enlightenment?"

(42) D. Lang, op cit., p. 113.


(44) Frend, op cit., throughout.

(45) E.g., Gimaret, op cit., pp. 66, 101, 110, 118.

(46) Ibid., pp. 140 ff.

(47) E.g., Musurillo, op cit., pp. 137 ff., 289.


Russell, op cit., p. 42.

Lambert, Medieval Heresy, p. 27.


See the treatment of the episode and the related eleventh century heresies in Russell, op cit., Moore, op cit. and Lambert, Medieval Heresy.

(59) See Peter Harvey's discussion in An Introduction to Buddhist Ethics, pp. 157 ff.

(60) Lieu, Manichaeism in the Later Roman Empire and Medieval China, pp. 111-112.


(62) Lieu, Manichaeism in the Later Roman Empire and Medieval China, p. 113.


(65) Hirsh, op cit., p. xvii. Bram,

(66) Lieu, Manichaeism in the Later Roman Empire and Medieval China, pp. 26 ff.
(67) Ibid., pp. 24, 177.

(68) Regarding the Hearers, see ibid., pp. 181-182. Augustine is not consistent on this matter, but see Saint Augustine: The Catholic and Manichaean Ways of Life, pp. 100, 102, where he stresses the difference in the strictness with which dietary rules are observed by Manichaean Elect and Hearers.

(69) Lieu, Manichaeism in the Later Roman Empire and Medieval China, pp. 26-27.

(70) Ibid., pp. 26-27.


(73) For the position on plants, earth, and water, see ibid., pp. 45-46, 49; Lieu, Manichaeism in Mesopotamia and the Roman East, p. 82. See also Henrichs, op cit. as well as Jackson and Casadio, op cit. The ability of animals to suffer was one of the reasons Manichaeans were forbidden to kill them. See Saint Augustine: The Catholic and Manichaean Ways of Life, p. 105.


(77) Wiesehofer, op cit., p. 156.

(78) Russell, op cit., p. 39. It is not difficult to imagine a cleric constructing the Goslar test on the basis of Augustine's writings. Augustine did not hesitate to give advice on the detection of Manichaeans during his own lifetime (Lieu, Manichaeism in the Later Roman Empire and Medieval China, pp. 202-203), and he was adamant that the refusal to kill animals was un-Christian (Saint Augustine: The Catholic and Manichaean Ways of Life, p. 102).

(79) Moore, op cit., p. 2.

(81) Saint Augustine: The Catholic and Manichaean Ways of Life, pp. 102, 105.

(82) Ibid., p. 105.


(88) The degree of influence of Buddhism on Manichaeism is controversial. In the present connection, see Lieu, Manichaeism in the Later Roman Empire and Medieval China, pp. 71 ff.; Widengren, Mani and Manichaeism, pp. 23 ff., 66-67; Henrichs, op cit.; Jackson, op cit.; Casadio, op cit.